



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|-------------|----------------------|---------------------|------------------|
| 10/591,659 | 09/19/2007 | Michael Boschert | HMNZ 200049US01 | 1805 |
| 27885 | 7590 | 03/03/2010 | EXAMINER | |
| FAY SHARPE LLP 1228 Euclid Avenue, 5th Floor The Halle Building Cleveland, OH 44115 | | ANGADI, MAKI A | | |
| | | ART UNIT | | PAPER NUMBER |
| | | 1792 | | |
| | | MAIL DATE | | DELIVERY MODE |
| | | 03/03/2010 | | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | |
|------------------------------|------------------------|---------------------|
| Office Action Summary | Application No. | Applicant(s) |
| | 10/591,659 | BOSCHERT ET AL. |
| | Examiner | Art Unit |
| | MAKI A. ANGADI | 1792 |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) Responsive to communication(s) filed on 15 January 2010.
 2a) This action is **FINAL**. 2b) This action is non-final.
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) Claim(s) 1-14, 16-26 and 28-40 is/are pending in the application.
 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
 5) Claim(s) _____ is/are allowed.
 6) Claim(s) 1-14, 16-26 and 28-40 is/are rejected.
 7) Claim(s) _____ is/are objected to.
 8) Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) The specification is objected to by the Examiner.
 10) The drawing(s) filed on _____ is/are: a) accepted or b) objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 a) All b) Some * c) None of:
 1. Certified copies of the priority documents have been received.
 2. Certified copies of the priority documents have been received in Application No. _____.
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ . |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date _____ . | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| | 6) <input type="checkbox"/> Other: _____ . |

DETAILED ACTION

Claim Objections

1. The numbering of claims is not in accordance with 37 CFR 1.126 which requires the original numbering of the claims to be preserved throughout the prosecution. When claims are canceled, the remaining claims must not be renumbered. When new claims are presented, they must be numbered consecutively beginning with the number next following the highest numbered claims previously presented (whether entered or not).

Misnumbered claim 38 has been renumbered 39. Claim 38 is missing in the amended list of claims.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of

35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

2. Claims 1-14, 16-19, 28-37, 40 are rejected under 35 U.S.C. 103(a) Yokota et al. (US Patent No. 6,497,926) in view of Clarke et al (US Patent No. 6,099,913), Nakamura et al. (US Patent No. 4,230,743) and Ruschak et al. (US Patent No. 5,885,660).

As to claims 1, 3, 16-17, 28-30, 35, 36 and 40, Yokota discloses a method for producing a thermal paper or recording material (col.2, lines 38-40) which reads on the steps comprising a substrate (col.2, line 41), pigment coat or dispersion agent (col.12, line12), a thermal reaction layer in the form of heat-sensitive recoding layer (col.8, lines 13-16) and, optionally one or more intermediate coats or top coats as protective layers via the curtain-coating method (col.8, lines 13-23, and lines 64-67) with an aqueous suspension containing pigments, binding agents and additives(col.12, line 12-15), color pigments or formers (col.7, lines 16-17 and col.9, lines 16-20) aqueous application suspension containing calcined kaolin (col.12, line 64) having a solid matter contents of approximately 100 parts (Examples, Cl.14) by means of the curtain-coating method (col.8, lines 13-23).

Yokota discloses the drying method (col.7, lines 9-25) but is silent about the operating speed of the curtain-coating method. However, Clarke discloses curtain coating method at web speeds (1000 cm/s or about 600 m/min) (Fig.2c and Fig.2d) and drop height (or curtain height) of about 10-30 cm (Fig.1m, col.4,

line 55, col.6, line 61). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to select operating speeds in excess of 500 m/min in curtain coating method because Clarke illustrates in Fig.2c, 2d that high speed method having wide viscosity latitude negating the limitations of puddling and air entrainment and reducing the amounts of volatile components in the coating compositions (col.3, lines 55-59).

Yokota discloses the use of calcined kaolin (col.12, line 64) but does not explicitly disclose their particle size, application weight, and nozzle throughputs. However, Nakamura discloses the particle size in the range of about 0.1 μm to about 100 μm (col.8, lines 53-54), weight ratios in the range 10-70 wt% (col.8, lines 4-10) and application weight 5-8 g/m² of the aqueous application suspension containing the kaolin (col.13, lines 28-40) and operating speed of about 1000 m/min or higher and there is no limit in theory (col.7, lines 18-20) in curtain coating method (col.7, lines 20-23) and nozzle or slit (6) throughputs (Fig.1) in the range 0.66-1.0 cc/cm/sec or more (col.4, lines 39-42). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to select particle size, application weight in the curtain coating method employed by Yokota because Nakamura illustrates in Fig.1 that the adjustment of particle size and the application weight in the curtain coating method are crucial for producing a pressure-sensitive copying paper of high quality which eliminates the disadvantages of conventional techniques.

Yokota is silent about exit gap in the curtain spread head of the curtain-coating method. However, Ruschak discloses the process of maintaining a single gap of the order of 0.1 inch or 0.25 cm (col.3, lines 5-11) or smaller, and the gap varies along the length of the channels as desired (Fig.4) (Fig.6, lines 21-25). Therefore, one who is skilled in the art at the time of the invention was made to adjust the gap in the curtain spreader of the curtain-wall method because Ruschak illustrates that the adjustment of gap is desired so that the shear rate to which the coating composition is subject is relatively low (col.3, lines 5-13).

As to claim 2, Yokota discloses the solid matter contents of the application suspension between 35 and 60% by weight (Example 1, col.14).

As to claims 4 and 5, 31, 32 and 37, Yokota discloses the viscosity for calcined kaolin in the range of about 100-2000mPa.s and surface tension of about 18-30 mN/m (Example 1-12, col.14, lines 20-21).

As to claim 6, Yokota discloses the use of a synthetic carrier paper with basis weight of approximately 60 g/m² (col.15, lines 13-14).

As to claims 7, 33 and 34, Yokota discloses the use of synthetic fibers in addition to natural cellulose fibers (col.12, lines 19-48) in the range of about 5-60 % by weight, Example 1-17, col.14, lines 18-34).

As to claims 8 and 9, Yokota discloses the use of calcined kaolin (col.12, line 64) with customary additives in the form of processing auxiliaries such as inorganic and organic pigments, resin, antioxidants, UV absorbent, alkali salts, methyl cellulose, polyvinyl alcohol, acrylates and other additives (col.12, lines 60-

67, col.13, lines 1-38) and other retention auxiliaries and surface-active substances (col.12, lines 19-49 and col.13, lines 5-38).

As to claim 10, Yokota discloses the particle size of about 1-2 μm (col.27, line 20-23, Example 24, Example 30 col.28 lines 49-51).

As to claim 11, Yokota discloses aqueous application suspension containing calcined kaolin (col.12, line 64) contains a binding agent (col.12, lines 20-49).

As to claims 12 and 13, Yokota discloses application weight of aqueous application suspension containing the calcined kaolin (col.12, line 64, Example 1, col.14) in the range 6 g/m² to 60 g/m² (col.15, lines 6-17).

As to claim 14, Yokota discloses intermediate pigment coat (col.12, lines 8-19 and lines 60-67) after drying by means of the curtain-coating method (col.8, lines 55-60, col.11, lines 51-57).

As to claims 18 and 19, Yokota discloses the formation of thermal reaction layer is in the range of about (100-2000 mPa.s) and surface tension 30 mN/m (Examples 1-12 on cols. 14-16).

Claim Rejections - 35 USC § 103

3. Claims 20-26 and 39 are rejected under 35 U.S.C. 103(a) Yokota et al. (US Patent No. 6,497,926) in view of Clarke et al (US Patent No. 6,099,913) and Nakamura et al. (US Patent No. 4,230,743) as applied to claims 1, 15 and 20, in further view of Iwasaki et al. (US Patent No. 6,800,588).

As to claims 20 and 39, Yokota is silent about the Bekk smoothness of the thermal reaction layer. However, Iwasaki discloses the surface smoothness of at least 150 second (col.11, lines 11-12). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to adjust smoothness of the reaction layer because Iwasaki discloses that the surface smoothness ensure good dot reproducibility on the recording material comprising it 9col.11, lines 13-14).

As to claims 21 and 22, Yokota discloses aqueous application suspension for the formation of the thermal reaction coat contains pigments that include oxides (col.12, lines 8-18, lines 60-67 and col.13, and lines 1-17)).

As to claim 23, Yokota discloses the particle size of about 1-2 μm (col.27, line 20-23, Example 24, Example 30 col.28 lines 49-51).

As to claim 24, Yokota discloses thermal reaction layer (col.8, lines 12-23) and additional layers formed as protective layer (Examples 1-17) to enhance the capability of being printed on (col.13, lines 39-67)

As to claims 25 and 26, Yokota discloses the drying method (col.7, lines 9-25) but is silent about the operating speed of the curtain-coating method. However, Clarke discloses curtain coating method at web speeds (1000 cm/s) (Fig.2c and Fig.2d) and drop height (or curtain height) of about 10-30 cm (Fig.1m col.6, line 61 and col.4, lines 54-56). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to select operating speeds in excess of 500 m/min in curtain coating method because

Clarke illustrates in Fig.2c, 2d that high speed method having wide viscosity latitude negating the limitations of puddling and air entertainment and reducing the amounts of volatile components in the coating compositions (col.3, lines 55-59).

Response to Arguments

4. Applicant's arguments with respect to amended claims in the reply filed on 1/15/2010 have been considered but are moot in view of the new ground(s) of rejection. A new prior art of Nakamura et al. (US Patent No. 4,230,743) is included in the office action presented above. The combined teachings of reference of Yokota, Clarke, Nakamura and Ruschak would meet the limitations of applicants' amended claim 1.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be

calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Obringer et al. (US Patent no. 4,853,256) discloses a two ply thermal paper and method of making.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MAKI A. ANGADI whose telephone number is (571)272-8213. The examiner can normally be reached on 8 AM to 4.30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nadine G. Norton can be reached on 571-272-1465. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service

Application/Control Number: 10/591,659
Art Unit: 1792

Page 10

Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Nadine G Norton/
Supervisory Patent Examiner, Art Unit 1792

/Maki A Angadi/
Examiner, Art Unit 1792